Package 'embed'

April 13, 2022

Title Extra Recipes for Encoding Predictors

Version 0.2.0

Description Predictors can be converted to one or more numeric representations using a variety of methods. Effect encodings using simple generalized linear models <arXiv:1611.09477> or nonlinear models <arXiv:1604.06737> can be used. There are also functions for dimension reduction and other approaches.

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```
URL https://embed.tidymodels.org, https://github.com/tidymodels/embed
```

BugReports https://github.com/tidymodels/embed/issues

Depends R (>= 3.4), recipes (>= 0.1.17)

Imports glue, dplyr, generics (>= 0.1.0), keras, lifecycle, purrr, rlang (>= 0.4.10), rsample, stats, tensorflow, tibble, tidyr, utils, uwot, withr

Suggests covr, ggplot2, irlba, knitr, lme4, modeldata, rmarkdown, rpart, rstanarm, testthat (>= 3.0.0), VBsparsePCA, xgboost

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add_woe

Add WoE in a data frame

Description

A tidyverse friendly way to plug WoE versions of a set of predictor variables against a given binary outcome.

Usage

```
add_woe(.data, outcome, ..., dictionary = NULL, prefix = "woe")
```

Arguments

.data	A tbl. The data.frame to plug the new woe version columns.
outcome	The bare name of the outcome variable.
	Bare names of predictor variables, passed as you would pass variables to dplyr::select(). This means that you can use all the helpers like starts_with() and matches().
dictionary	A tbl. If NULL the function will build a dictionary with those variables passed to You can pass a custom dictionary too, see dictionary() for details.
prefix	A character string that will be the prefix to the resulting new variables.

Details

You can pass a custom dictionary to add_woe(). It must have the exactly the same structure of the output of dictionary(). One easy way to do this is to tweak a output returned from it.

dictionary

Value

A tibble with the original columns of .data plus the woe columns wanted.

Examples

mtcars %>% add_woe("am", cyl, gear:carb)

dictionary

Weight of evidence dictionary

Description

Builds the woe dictionary of a set of predictor variables upon a given binary outcome. Convenient to make a woe version of the given set of predictor variables and also to allow one to tweak some woe values by hand.

Usage

dictionary(.data, outcome, ..., Laplace = 1e-06)

Arguments

.data	A tbl. The data.frame where the variables come from.
outcome	The bare name of the outcome variable with exactly 2 distinct values.
	bare names of predictor variables or selectors accepted by dplyr::select().
Laplace	Default to 1e-6. The pseudocount parameter of the Laplace Smoothing estima- tor. Value to avoid -Inf/Inf from predictor category with only one outcome class. Set to 0 to allow Inf/-Inf.

Details

You can pass a custom dictionary to step_woe(). It must have the exactly the same structure of the output of dictionary(). One easy way to do this is by tweaking an output returned from it.

Value

a tibble with summaries and woe for every given predictor variable stacked up.

References

Kullback, S. (1959). *Information Theory and Statistics*. Wiley, New York. Hastie, T., Tibshirani, R. and Friedman, J. (1986). *Elements of Statistical Learning*, Second Edition, Springer, 2009.

Good, I. J. (1985), "Weight of evidence: A brief survey", Bayesian Statistics, 2, pp.249-270.

Examples

mtcars %>% dictionary("am", cyl, gear:carb)

is_tf_available Test to see if tensorflow is available

Description

Test to see if tensorflow is available

Usage

is_tf_available()

Value

A logical

Examples

is_tf_available()

solubility

Compound solubility data

Description

Compound solubility data

Details

Tetko et al. (2001) and Huuskonen (2000) investigated a set of compounds with corresponding experimental solubility values using complex sets of descriptors. They used linear regression and neural network models to estimate the relationship between chemical structure and solubility. For our analyses, we will use 1267 compounds and a set of more understandable descriptors that fall into one of three groups: 208 binary "fingerprints" that indicate the presence or absence of a particular chemical sub-structure, 16 count descriptors (such as the number of bonds or the number of Bromine atoms) and 4 continuous descriptors (such as molecular weight or surface area).

Value

solubility a data frame

Source

Tetko, I., Tanchuk, V., Kasheva, T., and Villa, A. (2001). Estimation of aqueous solubility of chemical compounds using E-state indices. *Journal of Chemical Information and Computer Sciences*, 41(6), 1488-1493.

Huuskonen, J. (2000). Estimation of aqueous solubility for a diverse set of organic compounds based on molecular topology. *Journal of Chemical Information and Computer Sciences*, 40(3), 773-777.

Examples

data(solubility)
str(solubility)

step_discretize_cart Discretize numeric variables with CART

Description

step_discretize_cart creates a *specification* of a recipe step that will discretize numeric data (e.g. integers or doubles) into bins in a supervised way using a CART model.

Usage

```
step_discretize_cart(
  recipe,
  ...,
  role = NA,
  trained = FALSE,
  outcome = NULL,
  cost_complexity = 0.01,
  tree_depth = 10,
  min_n = 20,
  rules = NULL,
  skip = FALSE,
  id = rand_id("discretize_cart")
)
```

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose which variables are affected by the step. See selections() for more details.
role	Defaults to "predictor".
trained	A logical to indicate if the quantities for preprocessing have been estimated.

outcome	A call to vars to specify which variable is used as the outcome to train CART models in order to discretize explanatory variables.
cost_complexity	,
	The regularization parameter. Any split that does not decrease the overall lack of fit by a factor of cost_complexity is not attempted. Corresponds to cp in rpart::rpart(). Defaults to 0.01.
tree_depth	The <i>maximum</i> depth in the final tree. Corresponds to maxdepth in rpart::rpart(). Defaults to 10.
min_n	The number of data points in a node required to continue splitting. Corresponds to minsplit in rpart::rpart(). Defaults to 20.
rules	The splitting rules of the best CART tree to retain for each variable. If length zero, splitting could not be used on that column.
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations
id	A character string that is unique to this step to identify it.

step_discretize_cart() creates non-uniform bins from numerical variables by utilizing the information about the outcome variable and applying a CART model.

The best selection of buckets for each variable is selected using the standard cost-complexity pruning of CART, which makes this discretization method resistant to overfitting.

This step requires the **rpart** package. If not installed, the step will stop with a note about installing the package.

Note that the original data will be replaced with the new bins.

Value

An updated version of recipe with the new step added to the sequence of any existing operations.

Tidying

When you tidy() this step, a tibble with columns terms (the columns that is selected), values is returned.

See Also

step_discretize_xgb(), recipes::recipe(), recipes::prep(), recipes::bake()

Examples

library(modeldata)
data(ad_data)
library(rsample)

```
split <- initial_split(ad_data, strata = "Class")
ad_data_tr <- training(split)
ad_data_te <- testing(split)
cart_rec <-
    recipe(Class ~ ., data = ad_data_tr) %>%
    step_discretize_cart(tau, age, p_tau, Ab_42, outcome = "Class", id = "cart splits")
cart_rec <- prep(cart_rec, training = ad_data_tr)
# The splits:
tidy(cart_rec, id = "cart splits")
bake(cart_rec, ad_data_te, tau)</pre>
```

step_discretize_xgb Discretize numeric variables with XgBoost

Description

step_discretize_xgb creates a *specification* of a recipe step that will discretize numeric data (e.g. integers or doubles) into bins in a supervised way using an XgBoost model.

Usage

```
step_discretize_xgb(
  recipe,
  ...,
  role = NA,
  trained = FALSE,
  outcome = NULL,
  sample_val = 0.2,
  learn_rate = 0.3,
  num_breaks = 10,
  tree_depth = 1,
  min_n = 5,
  rules = NULL,
  skip = FALSE,
  id = rand_id("discretize_xgb")
)
```

Arguments

recipe A recipe object. The step will be added to the sequence of operations for this recipe.

... One or more selector functions to choose which variables are affected by the step. See selections() for more details.

role	Defaults to "predictor".
trained	A logical to indicate if the quantities for preprocessing have been estimated.
outcome	A call to vars to specify which variable is used as the outcome to train XgBoost models in order to discretize explanatory variables.
sample_val	Share of data used for validation (with early stopping) of the learned splits (the rest is used for training). Defaults to 0.20.
learn_rate	The rate at which the boosting algorithm adapts from iteration-to-iteration. Corresponds to eta in the xgboost package. Defaults to 0.3.
num_breaks	The <i>maximum</i> number of discrete bins to bucket continuous features. Corresponds to max_bin in the xgboost package. Defaults to 10.
tree_depth	The maximum depth of the tree (i.e. number of splits). Corresponds to max_depth in the xgboost package. Defaults to 1.
min_n	The minimum number of instances needed to be in each node. Corresponds to min_child_weight in the xgboost package. Defaults to 5.
rules	The splitting rules of the best XgBoost tree to retain for each variable.
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations
id	A character string that is unique to this step to identify it.

step_discretize_xgb() creates non-uniform bins from numerical variables by utilizing the information about the outcome variable and applying the xgboost model. It is advised to impute missing values before this step. This step is intended to be used particularly with linear models because thanks to creating non-uniform bins it becomes easier to learn non-linear patterns from the data.

The best selection of buckets for each variable is selected using an internal early stopping scheme implemented in the **xgboost** package, which makes this discretization method prone to overfitting.

The pre-defined values of the underlying xgboost learns good and reasonably complex results. However, if one wishes to tune them the recommended path would be to first start with changing the value of num_breaks to e.g.: 20 or 30. If that doesn't give satisfactory results one could experiment with modifying the tree_depth or min_n parameters. Note that it is not recommended to tune learn_rate simultaneously with other parameters.

This step requires the **xgboost** package. If not installed, the step will stop with a note about installing the package.

Note that the original data will be replaced with the new bins.

Value

An updated version of recipe with the new step added to the sequence of any existing operations.

step_embed

Tidying

When you tidy() this step, a tibble with columns terms (the columns that is selected), values is returned.

See Also

```
step_discretize_cart(), recipes::recipe(), recipes::prep(), recipes::bake()
```

Examples

```
library(modeldata)
data(credit_data)
library(rsample)
library(recipes)
split <- initial_split(credit_data, strata = "Status")
credit_data_tr <- training(split)
credit_data_te <- testing(split)
xgb_rec <-
recipe(Status ~ ., data = credit_data_tr) %>%
step_impute_median(all_numeric()) %>%
step_discretize_xgb(all_numeric(), outcome = "Status")
if (rlang::is_installed("xgboost")) {
 xgb_rec <- prep(xgb_rec, training = credit_data_tr)
 bake(xgb_rec, credit_data_te, Price)
}
```

step_embed

Encoding Factors into Multiple Columns

Description

step_embed creates a *specification* of a recipe step that will convert a nominal (i.e. factor) predictor into a set of scores derived from a tensorflow model via a word-embedding model. embed_control is a simple wrapper for setting default options.

Usage

```
step_embed(
  recipe,
  ...,
  role = "predictor",
  trained = FALSE,
  outcome = NULL,
```

```
predictors = NULL,
 num_terms = 2,
 hidden_units = 0,
 options = embed_control(),
 mapping = NULL,
 history = NULL,
 skip = FALSE,
 id = rand_id("embed")
)
embed_control(
 loss = "mse",
 metrics = NULL,
 optimizer = "sgd",
 epochs = 20,
 validation_split = 0,
 batch_size = 32,
 verbose = 0,
 callbacks = NULL
```

```
)
```

Arguments

A recipe object. The step will be added to the sequence of operations for this recipe.
One or more selector functions to choose variables. For step_embed, this indi- cates the variables to be encoded into a numeric format. See recipes::selections() for more details. For the tidy method, these are not currently used.
For model terms created by this step, what analysis role should they be as- signed?. By default, the function assumes that the embedding variables created will be used as predictors in a model.
A logical to indicate if the quantities for preprocessing have been estimated.
A call to vars to specify which variable is used as the outcome in the neural network.
An optional call to vars to specify any variables to be added as additional pre- dictors in the neural network. These variables should be numeric and perhaps centered and scaled.
An integer for the number of resulting variables.
An integer for the number of hidden units in a dense ReLu layer between the embedding and output later. Use a value of zero for no intermediate layer (see Details below).
A list of options for the model fitting process.
A list of tibble results that define the encoding. This is NULL until the step is trained by recipes::prep().
A tibble with the convergence statistics for each term. This is NULL until the step is trained by recipes::prep().

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step_embed

skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations.	
id	A character string that is unique to this step to identify it.	
optimizer, loss, metrics		
	Arguments to pass to keras::compile()	
epochs, validat	<pre>ion_split, batch_size, verbose, callbacks Arguments to pass to keras::fit()</pre>	

Details

Factor levels are initially assigned at random to the new variables and these variables are used in a neural network to optimize both the allocation of levels to new columns as well as estimating a model to predict the outcome. See Section 6.1.2 of Francois and Allaire (2018) for more details.

The new variables are mapped to the specific levels seen at the time of model training and an extra instance of the variables are used for new levels of the factor.

One model is created for each call to step_embed. All terms given to the step are estimated and encoded in the same model which would also contain predictors give in predictors (if any).

When the outcome is numeric, a linear activation function is used in the last layer while softmax is used for factor outcomes (with any number of levels).

For example, the keras code for a numeric outcome, one categorical predictor, and no hidden units used here would be

```
keras_model_sequential() %>%
layer_embedding(
    input_dim = num_factor_levels_x + 1,
    output_dim = num_terms,
    input_length = 1
) %>%
layer_flatten() %>%
layer_dense(units = 1, activation = 'linear')
```

If a factor outcome is used and hidden units were requested, the code would be

```
keras_model_sequential() %>%
layer_embedding(
    input_dim = num_factor_levels_x + 1,
    output_dim = num_terms,
    input_length = 1
    ) %>%
layer_flatten() %>%
layer_dense(units = hidden_units, activation = "relu") %>%
layer_dense(units = num_factor_levels_y, activation = 'softmax')
```

Other variables specified by predictors are added as an additional dense layer after layer_flatten and before the hidden layer.

Also note that it may be difficult to obtain reproducible results using this step due to the nature of Tensorflow (see link in References).

tensorflow models cannot be run in parallel within the same session (via foreach or futures) or the parallel package. If using a recipes with this step with caret, avoid parallel processing.

Value

An updated version of recipe with the new step added to the sequence of existing steps (if any). For the tidy method, a tibble with columns terms (the selectors or variables for encoding), level (the factor levels), and several columns containing embed in the name.

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected), levels (levels in variable), and a number of columns with embedding information are returned.

References

Francois C and Allaire JJ (2018) Deep Learning with R, Manning

"How can I obtain reproducible results using Keras during development?" https://tinyurl.com/ keras-repro

"Concatenate Embeddings for Categorical Variables with Keras" https://flovv.github.io/Embeddings_ with_keras_part2/

Examples

```
library(modeldata)
data(grants)
set.seed(1)
grants_other <- sample_n(grants_other, 500)
if (is_tf_available()) {
  rec <- recipe(class ~ num_ci + sponsor_code, data = grants_other) %>%
    step_embed(sponsor_code,
    outcome = vars(class),
    options = embed_control(epochs = 10)
    )
}
```

step_feature_hash Dummy Variables Creation via Feature Hashing

Description

[Soft-deprecated]

step_feature_hash is being deprecated in favor of textrecipes::step_dummy_hash(). This function creates a *specification* of a recipe step that will convert nominal data (e.g. character or factors) into one or more numeric binary columns using the levels of the original data.

Usage

```
step_feature_hash(
  recipe,
  ...,
  role = "predictor",
  trained = FALSE,
  num_hash = 2^6,
  preserve = deprecated(),
  columns = NULL,
  keep_original_cols = FALSE,
  skip = FALSE,
  id = rand_id("feature_hash")
)
```

Arguments

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose variables for this step. See selections() for more details.
role	For model terms created by this step, what analysis role should they be assigned? By default, the new columns created by this step from the original variables will be used as <i>predictors</i> in a model.
trained	A logical to indicate if the quantities for preprocessing have been estimated.
num_hash	The number of resulting dummy variable columns.
preserve	Use keep_original_cols instead to specify whether the selected column(s) should be retained in addition to the new dummy variables.
columns	A character vector for the selected columns. This is NULL until the step is trained by recipes::prep().
keep_original_c	cols

A logical to keep the original variables in the output. Defaults to FALSE.

skip	A logical. Should the step be skipped when the recipe is baked by bake()?
	While all operations are baked when prep() is run, some operations may not
	be able to be conducted on new data (e.g. processing the outcome variable(s)).
	Care should be taken when using skip = TRUE as it may affect the computations
	for subsequent operations.
id	A character string that is unique to this step to identify it.

step_feature_hash() will create a set of binary dummy variables from a factor or character variable. The values themselves are used to determine which row that the dummy variable should be assigned (as opposed to having a specific column that the value will map to).

Since this method does not rely on a pre-determined assignment of levels to columns, new factor levels can be added to the selected columns without issue. Missing values result in missing values for all of the hashed columns.

Note that the assignment of the levels to the hashing columns does not try to maximize the allocation. It is likely that multiple levels of the column will map to the same hashed columns (even with small data sets). Similarly, it is likely that some columns will have all zeros. A zero-variance filter (via recipes::step_zv()) is recommended for any recipe that uses hashed columns.

Value

An updated version of recipe with the new step added to the sequence of any existing operations.

Tidying

When you tidy() this step, a tibble with columns terms (the columns that is selected) is returned.

References

Weinberger, K, A Dasgupta, J Langford, A Smola, and J Attenberg. 2009. "Feature Hashing for Large Scale Multitask Learning." In Proceedings of the 26th Annual International Conference on Machine Learning, 1113–20. ACM.

Kuhn and Johnson (2020) Feature Engineering and Selection: A Practical Approach for Predictive Models. CRC/Chapman Hall https://bookdown.org/max/FES/encoding-predictors-with-many-categories.html

See Also

```
recipes::step_dummy(), recipes::step_zv()
```

Examples

```
data(grants, package = "modeldata")
if (is_tf_available()) {
    # This may take a while:
    rec <-</pre>
```

```
recipe(class ~ sponsor_code, data = grants_other) %>%
step_feature_hash(sponsor_code, num_hash = 2^6, keep_original_cols = TRUE) %>%
prep()
# How many of the 298 locations ended up in each hash column?
results <-
    bake(rec, new_data = NULL, starts_with("sponsor_code")) %>%
distinct()
apply(results %>% select(-sponsor_code), 2, sum) %>% table()
}
```

step_lencode_bayes Supervised Factor Conversions into Linear Functions using Bayesian Likelihood Encodings

Description

step_lencode_bayes creates a *specification* of a recipe step that will convert a nominal (i.e. factor) predictor into a single set of scores derived from a generalized linear model estimated using Bayesian analysis.

Usage

```
step_lencode_bayes(
  recipe,
  ...,
  role = NA,
  trained = FALSE,
  outcome = NULL,
  options = list(seed = sample.int(10^5, 1)),
  verbose = FALSE,
  mapping = NULL,
  skip = FALSE,
  id = rand_id("lencode_bayes")
)
```

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose variables. For step_lencode_bayes, this indicates the variables to be encoded into a numeric format. See recipes::selections() for more details. For the tidy method, these are not currently used.
role	Not used by this step since no new variables are created.
trained	A logical to indicate if the quantities for preprocessing have been estimated.

outcome	A call to vars to specify which variable is used as the outcome in the generalized linear model. Only numeric and two-level factors are currently supported.
options	A list of options to pass to rstanarm::stan_glmer().
verbose	A logical to control the default printing by rstanarm::stan_glmer().
mapping	A list of tibble results that define the encoding. This is NULL until the step is trained by recipes::prep().
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations
id	A character string that is unique to this step to identify it.

For each factor predictor, a generalized linear model is fit to the outcome and the coefficients are returned as the encoding. These coefficients are on the linear predictor scale so, for factor outcomes, they are in log-odds units. The coefficients are created using a no intercept model and, when two factor outcomes are used, the log-odds reflect the event of interest being the *first* level of the factor.

For novel levels, a slightly timmed average of the coefficients is returned.

A hierarchical generalized linear model is fit using rstanarm::stan_glmer() and no intercept via

stan_glmer(outcome ~ (1 | predictor), data = data, ...)

where the ... include the family argument (automatically set by the step, unless passed in by options) as well as any arguments given to the options argument to the step. Relevant options include chains, iter, cores, and arguments for the priors (see the links in the References below). prior_intercept is the argument that has the most effect on the amount of shrinkage.

Value

An updated version of recipe with the new step added to the sequence of existing steps (if any). For the tidy method, a tibble with columns terms (the selectors or variables for encoding), level (the factor levels), and value (the encodings).

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected), value and component is returned.

References

Micci-Barreca D (2001) "A preprocessing scheme for high-cardinality categorical attributes in classification and prediction problems," ACM SIGKDD Explorations Newsletter, 3(1), 27-32.

Zumel N and Mount J (2017) "vtreat: a data.frame Processor for Predictive Modeling," arXiv:1611.09477

"Hierarchical Partial Pooling for Repeated Binary Trials" https://tinyurl.com/stan-pooling

"Prior Distributions for 'rstanarm" Models" https://tinyurl.com/stan-priors

"Estimating Generalized (Non-)Linear Models with Group-Specific Terms with rstanarm" https: //tinyurl.com/stan-glm-grouped

Examples

```
library(recipes)
library(dplyr)
library(modeldata)

data(grants)
set.seed(1)
grants_other <- sample_n(grants_other, 500)
reencoded <- recipe(class ~ sponsor_code, data = grants_other) %>%
   step_lencode_bayes(sponsor_code, outcome = vars(class))
```

<pre>step_lencode_glm</pre>	Supervised Factor	Conversions	into Linear	Functions	using Likeli-
	hood Encodings				

Description

step_lencode_glm creates a *specification* of a recipe step that will convert a nominal (i.e. factor) predictor into a single set of scores derived from a generalized linear model.

Usage

```
step_lencode_glm(
  recipe,
   ...,
  role = NA,
  trained = FALSE,
  outcome = NULL,
  mapping = NULL,
  skip = FALSE,
  id = rand_id("lencode_glm")
)
```

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose variables. For step_lencode_glm, this indicates the variables to be encoded into a numeric format. See recipes::selections()
	for more details. For the tidy method, these are not currently used.

role	Not used by this step since no new variables are created.
trained	A logical to indicate if the quantities for preprocessing have been estimated.
outcome	A call to vars to specify which variable is used as the outcome in the generalized linear model. Only numeric and two-level factors are currently supported.
mapping	A list of tibble results that define the encoding. This is NULL until the step is trained by recipes::prep().
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations
id	A character string that is unique to this step to identify it.

For each factor predictor, a generalized linear model is fit to the outcome and the coefficients are returned as the encoding. These coefficients are on the linear predictor scale so, for factor outcomes, they are in log-odds units. The coefficients are created using a no intercept model and, when two factor outcomes are used, the log-odds reflect the event of interest being the *first* level of the factor.

For novel levels, a slightly timmed average of the coefficients is returned.

Value

An updated version of recipe with the new step added to the sequence of existing steps (if any). For the tidy method, a tibble with columns terms (the selectors or variables for encoding), level (the factor levels), and value (the encodings).

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected), value and component is returned.

References

Micci-Barreca D (2001) "A preprocessing scheme for high-cardinality categorical attributes in classification and prediction problems," ACM SIGKDD Explorations Newsletter, 3(1), 27-32.

Zumel N and Mount J (2017) "vtreat: a data.frame Processor for Predictive Modeling," arXiv:1611.09477

Examples

```
library(recipes)
library(dplyr)
library(modeldata)
data(grants)
set.seed(1)
grants_other <- sample_n(grants_other, 500)</pre>
```

```
reencoded <- recipe(class ~ sponsor_code, data = grants_other) %>%
  step_lencode_glm(sponsor_code, outcome = vars(class))
```

<pre>step_lencode_mixed</pre>	Supervised Factor Conversions into Linear Functions using Bayesian
	Likelihood Encodings

Description

step_lencode_mixed creates a *specification* of a recipe step that will convert a nominal (i.e. factor) predictor into a single set of scores derived from a generalized linear mixed model.

Usage

```
step_lencode_mixed(
  recipe,
   ...,
  role = NA,
  trained = FALSE,
  outcome = NULL,
  options = list(verbose = 0),
  mapping = NULL,
  skip = FALSE,
  id = rand_id("lencode_mixed")
)
```

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose variables. For step_lencode_mixed, this indicates the variables to be encoded into a numeric format. See recipes::selections() for more details. For the tidy method, these are not currently used.
role	Not used by this step since no new variables are created.
trained	A logical to indicate if the quantities for preprocessing have been estimated.
outcome	A call to vars to specify which variable is used as the outcome in the generalized linear model. Only numeric and two-level factors are currently supported.
options	A list of options to pass to lme4::lmer() or lme4::glmer().
mapping	A list of tibble results that define the encoding. This is NULL until the step is trained by recipes::prep().
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations

```
id A character string that is unique to this step to identify it.
```

For each factor predictor, a generalized linear model is fit to the outcome and the coefficients are returned as the encoding. These coefficients are on the linear predictor scale so, for factor outcomes, they are in log-odds units. The coefficients are created using a no intercept model and, when two factor outcomes are used, the log-odds reflect the event of interest being the *first* level of the factor.

For novel levels, a slightly timmed average of the coefficients is returned.

A hierarchical generalized linear model is fit using lme4::lmer() or lme4::glmer(), depending on the nature of the outcome, and no intercept via

```
lmer(outcome ~ 1 + (1 | predictor), data = data, ...)
```

where the ... include the family argument (automatically set by the step) as well as any arguments given to the options argument to the step. Relevant options include control and others.

Value

An updated version of recipe with the new step added to the sequence of existing steps (if any). For the tidy method, a tibble with columns terms (the selectors or variables for encoding), level (the factor levels), and value (the encodings).

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected), value and component is returned.

References

Micci-Barreca D (2001) "A preprocessing scheme for high-cardinality categorical attributes in classification and prediction problems," ACM SIGKDD Explorations Newsletter, 3(1), 27-32.

Zumel N and Mount J (2017) "vtreat: a data.frame Processor for Predictive Modeling," arXiv:1611.09477

Examples

```
library(recipes)
library(dplyr)
library(modeldata)
data(grants)
set.seed(1)
grants_other <- sample_n(grants_other, 500)
reencoded <- recipe(class ~ sponsor_code, data = grants_other) %>%
step_lencode_mixed(sponsor_code, outcome = vars(class))
```

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step_pca_sparse

Description

step_pca_sparse() creates a *specification* of a recipe step that will convert numeric data into one or more principal components that can have some zero coefficients.

Usage

```
step_pca_sparse(
   recipe,
   ...,
   role = "predictor",
   trained = FALSE,
   num_comp = 5,
   predictor_prop = 1,
   options = list(),
   res = NULL,
   prefix = "PC",
   keep_original_cols = FALSE,
   skip = FALSE,
   id = rand_id("pca_sparse")
)
```

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose which variables will be used to compute the components. See <pre>selections()</pre> for more details. For the tidy method, these are not currently used.
role	For model terms created by this step, what analysis role should they be assigned? By default, the function assumes that the new principal component columns created by the original variables will be used as predictors in a model.
trained	A logical to indicate if the quantities for preprocessing have been estimated.
num_comp	The number of PCA components to retain as new predictors. If num_comp is greater than the number of columns or the number of possible components, a smaller value will be used.
predictor_prop	The maximum number of original predictors that can have non-zero coefficients for each PCA component (via regularization).
options	A list of options to the default method for irlba::ssvd().
res	The rotation matrix once this preprocessing step has be trained by prep().
prefix	A character string that will be the prefix to the resulting new variables. See notes below.

keep_original_c	ols A logical to keep the original variables in the output. Defaults to FALSE.
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations
id	A character string that is unique to this step to identify it.

The irlba package is required for this step. If it is not installed, the user will be prompted to do so when the step is defined. The irlba::ssvd() function is used to encourage sparsity; that documentation has details about this method.

The argument num_comp controls the number of components that will be retained (per default the original variables that are used to derive the components are removed from the data). The new components will have names that begin with prefix and a sequence of numbers. The variable names are padded with zeros. For example, if num_comp < 10, their names will be PC1 - PC9. If num_comp = 101, the names would be PC001 - PC101.

Value

An updated version of recipe with the new step added to the sequence of existing steps (if any). For the tidy method, a tibble with columns terms (the selectors or variables selected), value (the loading), and component.

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected), value and component is returned.

See Also

```
step_pca_sparse_bayes()
```

Examples

```
library(recipes)
library(ggplot2)
```

data(ad_data, package = "modeldata")

```
ad_rec <-
  recipe(Class ~ ., data = ad_data) %>%
  step_zv(all_predictors()) %>%
  step_YeoJohnson(all_numeric_predictors()) %>%
  step_normalize(all_numeric_predictors()) %>%
  step_pca_sparse(
    all_numeric_predictors(),
    predictor_prop = 0.75,
```

```
num_comp = 3,
id = "sparse pca"
) %>%
prep()
tidy(ad_rec, id = "sparse pca") %>%
mutate(value = ifelse(value == 0, NA, value)) %>%
ggplot(aes(x = component, y = terms, fill = value)) +
geom_tile() +
scale_fill_gradient2() +
theme(axis.text.y = element_blank())
```

step_pca_sparse_bayes Sparse Bayesian PCA Signal Extraction

Description

step_pca_sparse_bayes() creates a *specification* of a recipe step that will convert numeric data into one or more principal components that can have some zero coefficients.

Usage

```
step_pca_sparse_bayes(
    recipe,
    ...,
    role = "predictor",
    trained = FALSE,
    num_comp = 5,
    prior_slab_dispersion = 1,
    prior_mixture_threshold = 0.1,
    options = list(),
    res = NULL,
    prefix = "PC",
    keep_original_cols = FALSE,
    skip = FALSE,
    id = rand_id("pca_sparse_bayes")
)
```

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose which variables will be used to compute the components. See selections() for more details. For the tidy method, these are not currently used.
role	For model terms created by this step, what analysis role should they be assigned? By default, the function assumes that the new principal component columns created by the original variables will be used as predictors in a model.

trained	A logical to indicate if the quantities for preprocessing have been estimated.	
num_comp	The number of PCA components to retain as new predictors. If num_comp is greater than the number of columns or the number of possible components, a smaller value will be used. A value of zero indicates that PCA will <i>not</i> be used on the data.	
prior_slab_dis	persion	
	This value is proportional to the dispersion (or scale) parameter for the slab portion of the prior. Smaller values result in an increase in zero coefficients.	
prior_mixture_	threshold	
	The parameter that defines the trade-off between the spike and slab components of the prior. Increasing this parameter increases the number of zero coefficients.	
options	A list of options to the default method for VBsparsePCA::VBsparsePCA().	
res	The rotation matrix once this preprocessing step has been trained by prep().	
prefix	A character string that will be the prefix to the resulting new variables. See notes below.	
keep_original_cols		
	A logical to keep the original variables in the output. Defaults to FALSE.	
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations	
id	A character string that is unique to this step to identify it.	

The VBsparsePCA package is required for this step. If it is not installed, the user will be prompted to do so when the step is defined.

A spike-and-slab prior is a mixture of two priors. One (the "spike") has all of its mass at zero and represents a variable that has no contribution to the PCA coefficients. The other prior is a broader distribution that reflects the coefficient distribution of variables that do affect the PCA analysis. This is the "slab". The narrower the slab, the more likely that a coefficient will be zero (or are regularized to be closer to zero). The mixture of these two priors is governed by a mixing parameter, which itself has a prior distribution and a hyper-parameter prior.

PCA coefficients and their resulting scores are unique only up to the sign. This step will attempt to make the sign of the components more consistent from run-to-run. However, the sparsity constraint may interfere with this goal.

The argument num_comp controls the number of components that will be retained (per default the original variables that are used to derive the components are removed from the data). The new components will have names that begin with prefix and a sequence of numbers. The variable names are padded with zeros. For example, if num_comp < 10, their names will be PC1 - PC9. If num_comp = 101, the names would be PC001 - PC101.

Value

An updated version of recipe with the new step added to the sequence of existing steps (if any). For the tidy method, a tibble with columns terms (the selectors or variables selected), value (the loading), and component.

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected), value and component is returned.

References

Ning, B. (2021). Spike and slab Bayesian sparse principal component analysis. arXiv:2102.00305.

See Also

step_pca_sparse()

Examples

```
library(recipes)
library(ggplot2)
data(ad_data, package = "modeldata")
ad_rec <-
  recipe(Class ~ ., data = ad_data) %>%
  step_zv(all_predictors()) %>%
  step_YeoJohnson(all_numeric_predictors()) %>%
  step_normalize(all_numeric_predictors()) %>%
  step_pca_sparse_bayes(
    all_numeric_predictors(),
   prior_mixture_threshold = 0.95,
   prior_slab_dispersion = 0.05,
   num\_comp = 3,
    id = "sparse bayesian pca"
  ) %>%
  <prep()</pre>
tidy(ad_rec, id = "sparse bayesian pca") %>%
  mutate(value = ifelse(value == 0, NA, value)) %>%
  ggplot(aes(x = component, y = terms, fill = value)) +
  geom_tile() +
  scale_fill_gradient2() +
  theme(axis.text.y = element_blank())
```

step_umap

Supervised and unsupervised uniform manifold approximation and projection (UMAP)

Description

step_umap creates a *specification* of a recipe step that will project a set of features into a smaller space.

Usage

```
step_umap(
  recipe,
  . . . ,
  role = "predictor",
  trained = FALSE,
  outcome = NULL,
  neighbors = 15,
  num\_comp = 2,
 min_dist = 0.01,
  learn_rate = 1,
  epochs = NULL,
  options = list(verbose = FALSE, n_threads = 1),
  seed = sample(10^5, 2),
  prefix = "UMAP",
  keep_original_cols = FALSE,
  retain = deprecated(),
  object = NULL,
  skip = FALSE,
  id = rand_id("umap")
)
```

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose variables for this step. See selections() for more details.
role	For model terms created by this step, what analysis role should they be assigned? By default, the new columns created by this step from the original variables will be used as <i>predictors</i> in a model.
trained	A logical to indicate if the quantities for preprocessing have been estimated.
outcome	A call to vars to specify which variable is used as the outcome in the encoding process (if any).

neighbors	An integer for the number of nearest neighbors used to construct the target sim- plicial set. If neighbors is greater than the number of data points, the smaller value is used.	
num_comp	An integer for the number of UMAP components. If num_comp is greater than the number of selected columns minus one, the smaller value is used.	
min_dist	The effective minimum distance between embedded points.	
learn_rate	Positive number of the learning rate for the optimization process.	
epochs	Number of iterations for the neighbor optimization. See uwot::umap() for more details.	
options	A list of options to pass to uwot:::umap(). The arguments X, n_neighbors, n_components, min_dist, n_epochs, ret_model, and learning_rate should not be passed here. By default, verbose and n_threads are set.	
seed	Two integers to control the random numbers used by the numerical methods. The default pulls from the main session's stream of numbers and will give re- producible results if the seed is set prior to calling prep() or bake().	
prefix	A character string for the prefix of the resulting new variables. See notes below.	
keep_original_cols		
	A logical to keep the original variables in the output. Defaults to FALSE.	
retain	Use keep_original_cols instead to specify whether the original predictors should be retained along with the new embedding variables.	
object	An object that defines the encoding. This is NULL until the step is trained by recipes::prep().	
skip	A logical. Should the step be skipped when the recipe is baked by bake()? While all operations are baked when prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations.	
id	A character string that is unique to this step to identify it.	

UMAP, short for Uniform Manifold Approximation and Projection, is a nonlinear dimension reduction technique that finds local, low-dimensional representations of the data. It can be run unsupervised or supervised with different types of outcome data (e.g. numeric, factor, etc).

The new components will have names that begin with prefix and a sequence of numbers. The variable names are padded with zeros. For example, if $num_comp < 10$, their names will be UMAP1 - UMAP9. If $num_comp = 101$, the names would be UMAP001 - UMAP101.

Value

An updated version of recipe with the new step added to the sequence of any existing operations.

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected) is returned.

References

McInnes, L., & Healy, J. (2018). UMAP: Uniform Manifold Approximation and Projection for Dimension Reduction. https://arxiv.org/abs/1802.03426.

"How UMAP Works" https://umap-learn.readthedocs.io/en/latest/how_umap_works.html

Examples

```
library(recipes)
library(ggplot2)
split <- seq.int(1, 150, by = 9)</pre>
tr <- iris[-split, ]</pre>
te <- iris[split, ]</pre>
set.seed(11)
supervised <-
 recipe(Species ~ ., data = tr) %>%
 step_center(all_predictors()) %>%
 step_scale(all_predictors()) %>%
 step_umap(all_predictors(), outcome = vars(Species), num_comp = 2) %>%
 prep(training = tr)
theme_set(theme_bw())
bake(supervised, new_data = te, Species, starts_with("umap")) %>%
 ggplot(aes(x = UMAP1, y = UMAP2, col = Species)) +
 geom_point(alpha = .5)
```

step_woe

Weight of evidence transformation

Description

step_woe creates a *specification* of a recipe step that will transform nominal data into its numerical transformation based on weights of evidence against a binary outcome.

Usage

```
step_woe(
  recipe,
  ...,
  role = "predictor",
  outcome,
  trained = FALSE,
  dictionary = NULL,
  Laplace = 1e-06,
  prefix = "woe",
  skip = FALSE,
```

step_woe

```
id = rand_id("woe")
)
```

Arguments

recipe	A recipe object. The step will be added to the sequence of operations for this recipe.
	One or more selector functions to choose which variables will be used to compute the components. See selections() for more details. For the tidy method, these are not currently used.
role	For model terms created by this step, what analysis role should they be as- signed?. By default, the function assumes that the new woe components columns created by the original variables will be used as predictors in a model.
outcome	The bare name of the binary outcome encased in vars().
trained	A logical to indicate if the quantities for preprocessing have been estimated.
dictionary	A tbl. A map of levels and woe values. It must have the same layout than the output returned from dictionary(). If 'NULL" the function will build a dictionary with those variables passed to See dictionary() for details.
Laplace	The Laplace smoothing parameter. A value usually applied to avoid -Inf/Inf from predictor category with only one outcome class. Set to 0 to allow Inf/-Inf. The default is 1e-6. Also known as 'pseudocount' parameter of the Laplace smoothing technique.
prefix	A character string that will be the prefix to the resulting new variables. See notes below.
skip	A logical. Should the step be skipped when the recipe is baked by recipes::bake()? While all operations are baked when recipes::prep() is run, some operations may not be able to be conducted on new data (e.g. processing the outcome variable(s)). Care should be taken when using skip = TRUE as it may affect the computations for subsequent operations
id	A character string that is unique to this step to identify it.

Details

WoE is a transformation of a group of variables that produces a new set of features. The formula is

$$woe_c = log((P(X = c|Y = 1))/(P(X = c|Y = 0)))$$

where c goes from 1 to C levels of a given nominal predictor variable X.

These components are designed to transform nominal variables into numerical ones with the property that the order and magnitude reflects the association with a binary outcome. To apply it on numerical predictors, it is advisable to discretize the variables prior to running WoE. Here, each variable will be binarized to have woe associated later. This can achieved by using step_discretize().

The argument Laplace is an small quantity added to the proportions of 1's and 0's with the goal to avoid log(p/0) or log(0/p) results. The numerical woe versions will have names that begin with woe_ followed by the respective original name of the variables. See Good (1985).

One can pass a custom dictionary tibble to step_woe(). It must have the same structure of the output from dictionary() (see examples). If not provided it will be created automatically. The role of this tibble is to store the map between the levels of nominal predictor to its woe values. You may want to tweak this object with the goal to fix the orders between the levels of one given predictor. One easy way to do this is by tweaking an output returned from dictionary().

Value

An updated version of recipe with the new step added to the sequence of existing steps (if any). For the tidy method, a tibble with the woe dictionary used to map categories with woe values.

Tidying

When you tidy() this step, a tibble with columns terms (the selectors or variables selected), value, n_tot, n_bad, n_good, p_bad, p_good, woe and outcome is returned.. See dictionary() for more information.

References

Kullback, S. (1959). Information Theory and Statistics. Wiley, New York.

Hastie, T., Tibshirani, R. and Friedman, J. (1986). *Elements of Statistical Learning*, Second Edition, Springer, 2009.

Good, I. J. (1985), "Weight of evidence: A brief survey", Bayesian Statistics, 2, pp.249-270.

Examples

```
library(modeldata)
data("credit_data")
set.seed(111)
in_training <- sample(1:nrow(credit_data), 2000)</pre>
credit_tr <- credit_data[in_training, ]</pre>
credit_te <- credit_data[-in_training, ]</pre>
rec <- recipe(Status ~ ., data = credit_tr) %>%
  step_woe(Job, Home, outcome = vars(Status))
woe_models <- prep(rec, training = credit_tr)</pre>
# the encoding:
bake(woe_models, new_data = credit_te %>% slice(1:5), starts_with("woe"))
# the original data
credit_te %>%
  slice(1:5) %>%
  dplyr::select(Job, Home)
# the details:
tidy(woe_models, number = 1)
# Example of custom dictionary + tweaking
# custom dictionary
```

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```
woe_dict_custom <- credit_tr %>% dictionary(Job, Home, outcome = "Status")
woe_dict_custom[4, "woe"] <- 1.23 # tweak
# passing custom dict to step_woe()
rec_custom <- recipe(Status ~ ., data = credit_tr) %>%
  step_woe(Job, Home, outcome = vars(Status), dictionary = woe_dict_custom) %>%
  prep()
rec_custom_baked <- bake(rec_custom, new_data = credit_te)
rec_custom_baked %>%
  dplyr::filter(woe_Job == 1.23) %>%
  head()
```

tidy.step_lencode_bayes

Tidy the Result of a Recipe

Description

tidy will return a data frame that contains information regarding a recipe or operation within the recipe (when a tidy method for the operation exists). See recipes::tidy.recipe for more information.

Usage

```
## S3 method for class 'step_lencode_bayes'
tidy(x, ...)
## S3 method for class 'step_discretize_cart'
tidy(x, ...)
## S3 method for class 'step_discretize_xgb'
tidy(x, ...)
## S3 method for class 'step_lencode_glm'
tidy(x, ...)
## S3 method for class 'step_feature_hash'
tidy(x, ...)
## S3 method for class 'step_lencode_mixed'
tidy(x, ...)
## S3 method for class 'step_pca_sparse'
tidy(x, ...)
## S3 method for class 'step_pca_sparse_bayes'
tidy(x, ...)
```

```
## S3 method for class 'step_embed'
tidy(x, ...)
## S3 method for class 'step_umap'
tidy(x, ...)
## S3 method for class 'step_woe'
tidy(x, ...)
```

Arguments

х	A step_woe object.
	Not currently used.

woe_table	Crosstable with woe between a binary outcome and a predictor vari-
	able.

Description

Calculates some summaries and the WoE (Weight of Evidence) between a binary outcome and a given predictor variable. Used to biuld the dictionary.

Usage

woe_table(predictor, outcome, Laplace = 1e-06)

Arguments

predictor	A atomic vector, usualy with few distinct values.
outcome	The dependent variable. A atomic vector with exactly 2 distinct values.
Laplace	The pseudocount parameter of the Laplace Smoothing estimator. Default to 1e-6. Value to avoid -Inf/Inf from predictor category with only one outcome class. Set to 0 to allow Inf/-Inf.

Value

a tibble with counts, proportions and woe. Warning: woe can possibly be -Inf. Use 'Laplace' arg to avoid that.

References

Kullback, S. (1959). Information Theory and Statistics. Wiley, New York.

Hastie, T., Tibshirani, R. and Friedman, J. (1986). *Elements of Statistical Learning*, Second Edition, Springer, 2009.

Good, I. J. (1985), "Weight of evidence: A brief survey", Bayesian Statistics, 2, pp.249-270.

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